

Keeping speed and distance for aligned motion

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The cohesive collective motion (flocking, swarming) of autonomous agents is ubiquitously observed and exploited in both natural and man-made settings, thus, minimal models for its description are essential. In a model with continuous space and time we find that if two particles arrive symmetrically in a plane at a large angle, then (i) radial repulsion and (ii) linear self-propelling toward a fixed preferred speed are sufficient for them to depart at a smaller angle. For this local gain of momentum explicit velocity alignment is not necessary, nor are adhesion or attraction, inelasticity or anisotropy of the particles, or nonlinear drag. With many particles obeying these microscopic rules of motion we find that their spatial confinement to a square with periodic boundaries (which is an indirect form of attraction) leads to stable macroscopic ordering. As a function of the strength of added noise we see—at finite system sizes—a critical slowing down close to the order-disorder boundary and a discontinuous transition. After varying the density of particles at constant system size and varying the size of the system with constant particle density we predict that in the infinite system size (or density) limit the hysteresis loop disappears and the transition becomes continuous. We note that animals, humans, drones, etc., tend to move asynchronously and are often more responsive to motion than positions. Thus, for them velocity-based continuous models can provide higher precision than coordinate-based models. An additional characteristic and realistic feature of the model is that convergence to the ordered state is fastest at a finite density, which is in contrast to models applying (discontinuous) explicit velocity alignments and discretized time. To summarize, we find that the investigated model can provide a minimal description of flocking.

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I. INTRODUCTION: SELF-PROPELLED MOTION OF INTERACTING PARTICLES

Over the past decades *hypothesis-driven modeling* has become a major method of discovery well beyond the traditional boundaries of quantitative science. Modeling tools and concepts from the natural sciences (including physics) and engineering are now routinely applied to a broad range of biological, social, economic, and even communication systems [1–7]. Clearly, this ongoing shift is also largely due to improved experimental and computational technologies. With these tools and approaches both experimentalists and theoreticians found that interacting cells, animals, or humans often benefit from aligning their actions [8–10]. The sum of such aligned (correlated) actions in a group is often called a group behavior. One of the most frequently observed and best known group behaviors is *collective motion in biology* when, for example, cells, insects, birds, or fish move in stable spatiotemporal patterns [11–16]. In addition to biological cases at the cellular or animal level, collective motion phenomena are also common in physics, information technology, robotics, and the social sciences [17–21].

The *model components* most frequently applied when describing collective motion are as follows: (i) nonzero particle speed, (ii) instantaneous explicit velocity alignment

(also called neighbor following), and (iii) the discretization of simulation time. Nonzero particle speed is necessary for modeling because, for example, birds or fish have to move in the air or water and humans (pedestrians) do not like to wait indefinitely. Explicit velocity alignment is a simple, yet highly fruitful, model component. From the physicist's point of view its ground breaking novelty stems from merging a self-propelled particle's "spin" (a pseudovector) with its velocity (a real vector) [22]. As for the discretization of simulation time, its main purpose is to speed up computation, which is achieved mainly through the synchronous update of all particles. However, the discretization of time makes low particle speeds necessary to avoid artifacts caused by the periodic boundaries [23,24]. Moreover, discretized time combined with explicit velocity alignment requires large enough time steps to avoid the nonphysically high accelerations that are caused by full velocity alignments within single simulation updates.

Recently, high-resolution lightweight sensors have largely improved observations of the collective motion patterns of autonomous moving agents [14,15,25]. With the growth of the amount and quality of experimental data on the many forms of collective motion it is becoming clear that there is no single combination of model components to uniformly describe the large variety of flocking (swarming) phenomena [26–35]. Nonetheless, the efficient prediction, *de novo* construction, and control of collective motion requires the identification of *minimal models*, which may also provide a classification

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of observed behaviors. The need for minimal models is underlined by the remarkable fact that despite the diversity of disciplines where collective motion has been reported *several results* have been found to be *broadly valid*. One such result is that with explicit velocity alignment, high particle density, and low noise the system has one stable state, and in this state rotational symmetry is broken: All particles move in the same direction (with small random deviations) [22,27,36–38].

At high densities collective motion has to slow down, therefore, models continuous in time become more relevant [12,21]. Here we investigate stable directional alignment (ordering) during the motion of interacting particles in a simple particle-based minimal model that is continuous in both space and time. The model contains only the three essential features of collective motion: particles (i) move and (ii) avoid collisions with (iii) some noise. First, we find that in a symmetric planar collision of two identical particles the total momentum usually grows. Next, we investigate whether symmetry breaking on the microscopic level can lead to a global symmetry breaking: ordering in a two-dimensional (2D) system of many interacting particles. We find indeed stable ordering, measure the stability of the ordered state as a function of the noise amplitude, and investigate the type of the transition.

II. MODEL: RADIAL REPULSION AND SELF-PROPELLING PARALLEL TO VELOCITY

We work with a model discussed in Vicsek and Zafeiris [34] based on previous unpublished research by Derzsi, Szöllösi and Vicsek [39]. The model is continuous in both time and space and contains N interacting self-propelled pointlike particles. The i particle's position is $\vec{r}_i(t)$, its velocity is $\vec{v}_i(t)$, and its self-propelling force adjusts $|\vec{v}_i|$ to a constant v_0 with a characteristic time of τ . The i and j particles interact through the radial repulsion term $\vec{F}(|\vec{r}_i - \vec{r}_j|)$. We set the mass of each particle to $m = 1$ kg and apply the uncorrelated noise term $\xi_i(t)$ that has a random direction and constant ξ magnitude. (The numerical implementation of the noise term is described in the caption of Fig. 4.) To summarize, the i particle's equation of motion is

$$m \frac{d\vec{v}_i}{dt} = m \frac{\vec{v}_i}{|\vec{v}_i|} \frac{v_0 - |\vec{v}_i|}{\tau} - \sum_{j \neq i} \vec{F}(|\vec{r}_i - \vec{r}_j|) + \vec{\xi}_i(t). \quad (1)$$

In the classical ($\tau \rightarrow \infty$) limit there is no self-propelling, thus, the system's total momentum, total angular momentum, and total (kinetic plus potential) energy are all conserved. In the overdamped ($\tau \rightarrow 0$) limit all speeds are constant (v_0), thus, the kinetic energy of each particle is conserved, but the system's total energy, momentum, and angular momentum are not conserved. For finite τ these quantities are not conserved.

III. RESULTS: ORDERING, TRANSITION SPEED, AND PHASE DIAGRAM

A. Alignment during the symmetric planar collision of two identical particles

Our goal is to see if Eq. (1) leads to stable directional ordering in a system of many (interacting) particles. A strong microscopic sign for macroscopic ordering can be the growth

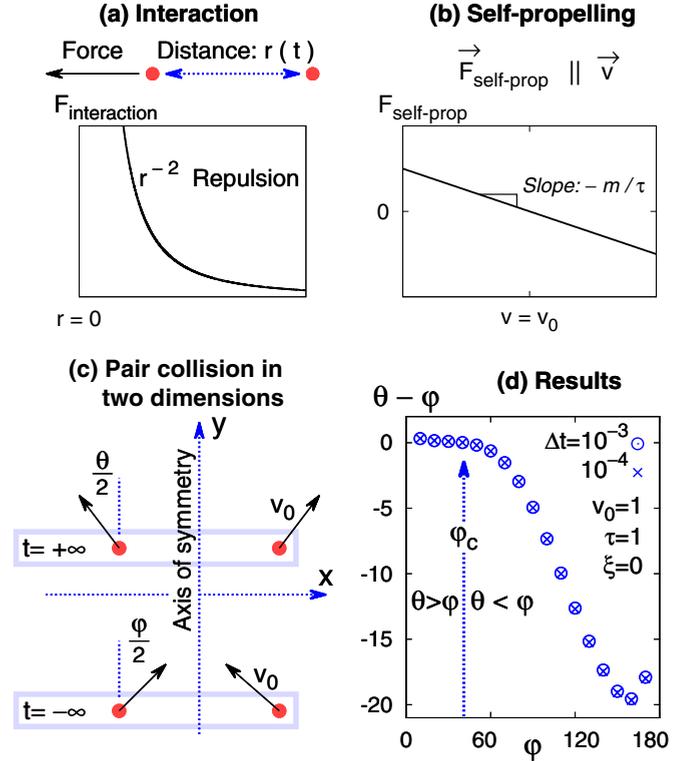


FIG. 1. (Color online) Symmetric planar collision of two identical pointlike particles according to Eq. (2). (a) and (b) Illustration of the model. (c) and (d) Geometry of the collision and results. We integrate the equations of motion with the forward Euler method. Initial and final distances were $r = 1000$. If the integration time step is reduced from $\Delta t = 10^{-3}$ to 10^{-4} , the results change very little [see circles and crosses in panel (d)].

of the total momentum in a single collision of two particles. To search for this microscopic behavior, we consider the simple scenario when two identical particles collide symmetrically in two dimensions without noise, and they have the same speed v_0 , both long before and long after the collision. Taking advantage of the collision's symmetry we follow only one of the two particles, denote its path by $[x(t), y(t)]$ and its velocity by $[v_x(t), v_y(t)]$. The collision's geometry—together with the initial angle (ϕ) and the final angle (θ)—are defined in Fig. 1. We set the y axis to the symmetry axis of the collision and shift time (t) such that at $t = 0$ the two particles are closest to each other. This implies also $v_x(0) = 0$ and $y(0) = 0$. Note that during the collision $v_x(t)$ grows monotonously from $v_x(t = -\infty) < 0$ to $v_x(t = +\infty) > 0$. For simplicity, we set now noise amplitudes to zero ($\xi = 0$) [40] and will return to nonzero noise ($\xi \geq 0$) only in Fig. 4. To summarize, the equations of motion of the analyzed particle ($x > 0$) are

$$\begin{aligned} m \frac{dv_x}{dt} &= m \frac{v_x}{v} \frac{v_0 - v}{\tau} + F[2x(t)], \\ m \frac{dv_y}{dt} &= m \frac{v_y}{v} \frac{v_0 - v}{\tau}. \end{aligned} \quad (2)$$

We set $v_0 = 1$ and $\tau = 1$ and apply the repulsion term $F(r) = cr^{-2}$ with $c = 1 \text{ kg m}^3 \text{ s}^{-2}$. With these settings we find that two particles arriving at an angle of $\phi < \phi_c \approx 41^\circ$

depart at an angle (θ) that is slightly above φ , thus, the paths of the two particles become slightly less parallel during the collision. However, for $\varphi > \varphi_c$ the two particles' paths become significantly *more parallel* during the collision ($\theta < \varphi$), thus, the total momentum of the system grows [Fig. 1(d)]. Note that the repulsion term is conservative (i.e., derived from a potential), thus,—in contrast to the model of Ref. [41]—inelasticity is not necessary for this local growth of the momentum. According to further simulations not shown here, this critical angle φ_c exists for other repulsive interaction types as well. For $F(r) = 5[1 + \text{sgn}(1 - r)]$ and $F(r) = 10 \exp(-r)$ we find the critical angles $\varphi_c \approx 44^\circ$ and $\varphi_c < 5^\circ$, respectively.

In the classical limit ($\tau \rightarrow \infty$) time is reversible, thus, the two particles' paths are both symmetric to the $y = 0$ axis, which gives $\theta = \varphi$. In the overdamped limit ($\tau \rightarrow 0$) speeds are constant (v_0), and the two equations in (2) lead to a single equation (for $x \neq 0$),

$$\ddot{x}(t)x^2(t) = (1 - [\dot{x}(t)/v_0]^2) \frac{c}{4m}. \quad (3)$$

This equation is also reversible in time, and thus, in the overdamped limit, too, we have $\theta = \varphi$. For finite values of τ there is no time reversal symmetry, and usually $\theta \neq \varphi$.

B. Stable aligned motion of many interacting particles

We proceed with finite τ and zero noise. To test if the observed convergent pair collisions cause a stable ordering of many particles, we integrate numerically the motion of N particles in a rectangle with size L and periodic boundaries. We apply the midpoint method with time step Δt , and for numerical efficiency we cut off interactions at a particle-particle distance of R . With these settings we search for global directional ordering through high ($E \approx 1$) values of the order parameter,

$$E(t) = \frac{1}{Nv_0} \left| \sum_{i=1}^N \vec{v}_i(t) \right|. \quad (4)$$

With the parameter sets shown in Fig. 2(a) we find that particles started at *random initial* coordinates and with random directions evolve to a stable state that has *globally ordered* velocities ($E \approx 1$) and coordinates. Changes to the parameters of the model (N , τ , or v_0) or the integration (R or Δt) do not affect the onset of this stable state, only the time needed for the system to converge to it [Fig. 2(a)]. In particular, for the analyzed finite values of τ convergence to the ordered state occurs in finite time. As opposed to this finite time, there is no convergence to the ordered state in either the $\tau \rightarrow 0$ or the $\tau \rightarrow \infty$ limit (recall that in both limiting cases time is reversible). Thus, the time needed for ordering is minimal at a finite value of τ . Similarly, in Fig. 2(a) we observe that the preferred speed of particles v_0 also has a finite optimal value for fast ordering because (i) at $v_0 = 0$ there is no ordering and (ii) with growing v_0 convergence to the ordered state becomes slower. In contrast to this, in time-discretized flocking models with explicit velocity alignment the time needed for ordering is minimal not at a finite particle speed (v_0), but in the $v_0 \rightarrow \infty$ limit (with $v_0 \Delta t/L = \text{const}$) because these models allow nonphysically high accelerations when aligning velocities. The

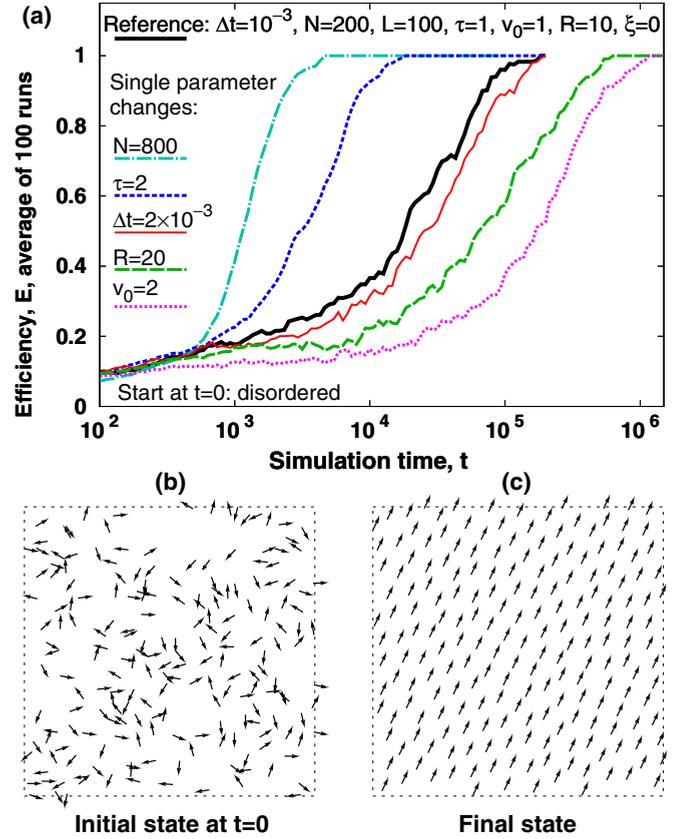


FIG. 2. (Color online) Transition from disorder to order in a rectangle with periodic boundaries and without noise ($\xi = 0$). At $t = 0$ the system is started from the disordered state. During the simulations particles self-propel to maintain their speed, and they repel each other [see Eq. (1) without noise]. (a) Convergence to the $E \approx 1$ ordered state is robust to changes in model parameters (N, τ, v_0) and integration parameters ($R, \Delta t$). (b) Initial state: Coordinates are random with distances above $L/(2\sqrt{N})$. Directions are random, and speeds are v_0 . (c) Final state: Coordinates and directions are ordered.

current model avoids this artifact by using continuous time and not using explicit velocity alignment.

C. Transition without noise: Finite optimal density provides the shortest transition time

In both natural systems and applications the speed of convergence to an ordered state characterizes the stability of the ordered state. This speed is often interpreted as the time necessary for making a collective decision [42–45]. Here we measure with several parameter sets of the model the time necessary for the convergence of the self-driven particles to the $E \approx 1$ ordered state.

In two-dimensional close packing—a hexagonal close packing of disks (filled circles)—the portion of the total area covered is $\pi/(2\sqrt{3}) \approx 0.907$. In our case the $L \times L$ simulated area has a side length of $L = 100$, and particle-particle interactions are cut off at a distance of $R = 10$ of the two particles. Thus, approximately above the particle density $\rho_c = (R/L)^2 \pi/(2\sqrt{3}) \approx 0.009$ a typical particle on a typical simulation snapshot interacts with at least one other

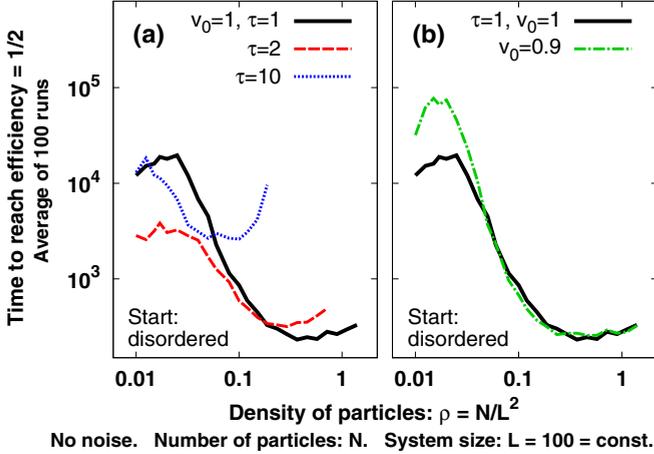


FIG. 3. (Color online) The length of simulation time t needed by the system to reach the average efficiency $\langle E \rangle = 1/2$ when running without noise ($\xi = 0$) and started from the disordered state. The results show averages over 100 simulation runs. The density of particles $\rho = N/L^2$ is varied among data points. In both panels the black solid line was obtained with the reference parameter set shown in Fig. 2(a). The two panels show results for various (a) time constants of self-propelling τ and (b) preferred velocities of motion v_0 . We conclude that the most rapid convergence to the ordered state occurs always at a finite density.

particle. Consequently, in Fig. 3 we analyze convergence to the ordered state at $\rho = 0.01$ and above. This figure shows the length of simulation time that the system needs to reach $E = 1/2$ when started from the disordered state without noise. According to Fig. 3(a) [in agreement with Fig. 2(a)] for fast convergence there is an optimal density at each investigated parameter set. This is in good agreement with the fundamental diagrams of unidirectional pedestrian motion [46] and highway traffic [47,48] showing an optimal density of the moving agents for maximal flow. For a qualitative explanation consider the following. At low density, biological or other agents (self-propelled particles) may move in many different directions and interact less frequently, thus they reach the ordered state in a longer time. At medium density they interact more frequently and confine each others' motion (due to their soft cores), thus, they reach ordered motion more quickly. Above medium density adding more particles will not make the convergence to the ordered state faster.

Again, the decreasing speed of convergence (to the directionally and spatially ordered state) at high densities makes the current model more realistic than time-discretized flocking models applying discontinuous (instantaneous) explicit velocity changes [22,37].

D. Transition with noise: Critical slowing down and hysteresis

To test the *stability of the ordered state*, we modify the reference parameter set [Fig. 2(a)] by applying nonzero noise amplitudes ($\xi > 0$). We find that the stability of the ordered steady state is lost at a finite (nonzero) noise amplitude (see Fig. 4). In Fig. 4 note also that the simulation time needed for the loss of stability diverges similarly to a power law as we approach this finite ξ_c noise amplitude from above. In other

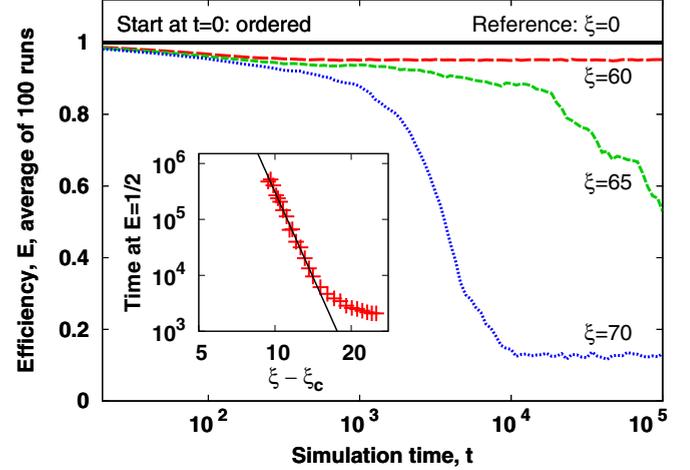


FIG. 4. (Color online) Transition from order [Fig. 2(c)] to disorder [Fig. 2(b)]. At $t = 0$ the system is in the ordered state. The reference parameter set of Fig. 2(a) is modified only by varying the noise amplitude ξ . The midpoint integration method is applied by setting the length of the noise vector to $\xi\sqrt{\Delta t}$ and $\xi\sqrt{\Delta t}/2$ for the first and second steps of an update, respectively. Main panel: At low noise amplitudes the system remains in the ordered state, and at high noise amplitudes it leaves the ordered state. The inset: The average efficiency reaches 1.2 (from above) at a simulation time that diverges—as a function of the noise amplitude—at a finite $\xi = \xi_c$ value similar to a power law. The solid line is a fit to the $\xi = 63 \dots 68$ data points with the parameters $\xi_c = 53.9 \pm 4.6$ (critical point) and $\gamma = -10.1 \pm 4.1$ (exponent).

words, in the parameter range between the system's ordered state and the disordered state we find a critical slowing down indicating a dynamical phase transition [49,50].

In systems of self-propelled particles there has been significant interest not only in the speed of the order-disorder transition, but also in the type of the transition [22–24,37]. To test the *if the transition observed here is discontinuous*, we search for hysteresis. We start simulations from both states (ordered and disordered) with the goal to allow the system to evolve to its final order parameter (E) value. We find that with a noise amplitude of $\xi = 50$ at simulation time $t = 10^6$ the system's efficiency still strongly depends on its initial state (Fig. 5). This indicates hysteresis, i.e., a discontinuous transition, with the given parameter set and simulation time. However, the system's slowing down shown in Fig. 4 suggests that this numerically observed hysteresis may completely disappear both at longer simulation times and in the $N \rightarrow \infty$ limit.

As an alternative to starting the system from both the ordered and the disordered states, we search for *hysteresis* also by investigating the *distribution of order parameter* (efficiency) values. We find that the critical noise amplitude at which the ordered state [Fig. 2(c)] is replaced by the disordered state [Fig. 2(b)] drops with increasing simulation time. This is shown in the main panel of Fig. 6. We define the time of the transition as the time when the system reaches half the maximal efficiency: $t(\langle E \rangle \approx 1/2)$. With this definition we observe (at the given finite time point) that at the time of the transition most simulation instances are either ordered ($E \approx 1$) or disordered

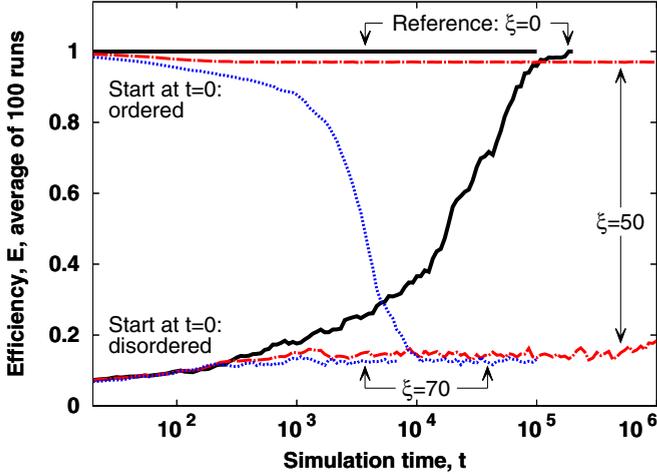


FIG. 5. (Color online) Searching for hysteresis. We use the reference parameter values [Fig. 2(a)] or modify it by setting the noise amplitude. We find that at simulation time $t = 10^6$ systems started from the ordered state ($E \approx 1$) have a higher average efficiency than those started from the disordered state. Thus, the system shows hysteresis with this parameter set and at this simulation time. However, after longer simulations or with more particles the two $\xi = 50$ curves (marked) may converge to the same final average E value.

and only a few have intermediate efficiencies (Fig. 6, inset). This bimodal distribution is in good agreement with the results of Fig. 5 and shows the presence of hysteresis.

E. Stability of phases and phase diagram

Figures 5 and 6 show evidence for hysteresis in a finite system. With growing system size and constant particle density we find that the hysteretic behavior becomes weaker. Although the ordered phase “falls apart” in constant time (at $\xi = 70$) almost independently of the system’s size, the time needed for its reemergence at $\xi = 0$ grows rapidly with the number of particles (see Fig. 7). This behavior is a consequence of the local nature of the particles’ interactions. Once order is lost in a small region due to fluctuations, the stabilizing effect of this region on neighbors is lost as well and return to the globally ordered state will be unlikely. On the other side of the hysteresis loop, i.e., when the system is started from the disordered state with low noise, the time that the locally ordered particle clusters will need to merge to a single globally ordered cluster will grow rapidly with the total number of particles.

Based on Fig. 7 we see no evidence for the disappearance of the hysteresis loop at finite system sizes (only its weakening), however, it seems to disappear entirely in the infinite system size limit. Thus, we suggest that in the $N \rightarrow \infty$ limit and with constant density the critical noise amplitude ξ_c (see Fig. 4) and the width of the hysteresis loop both converge to zero. Moreover, based on Fig. 3, we suggest that at a fixed system size (L) hysteresis is strongest at a finite density, above which the width of the hysteresis loop and the critical noise amplitude both decrease. To summarize, we predict that at finite parameter values and in the infinite system size (or infinite density) limit: (i) both phases (ordered and disordered)

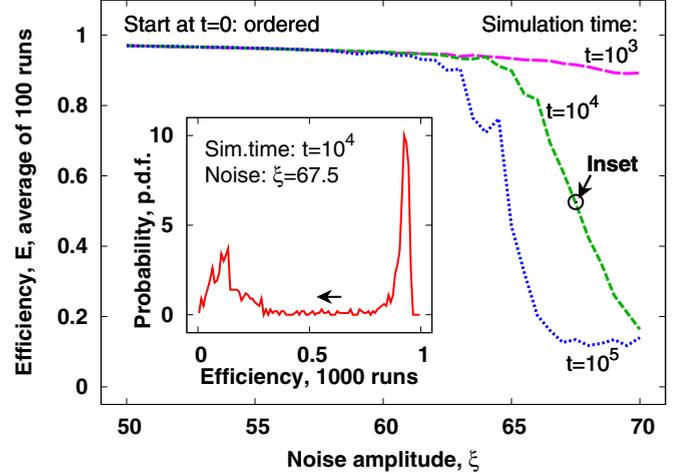


FIG. 6. (Color online) Efficiency (E ordering) as a function of noise amplitudes (ξ). The reference parameter set of Fig. 2(a) is changed by varying ξ . Main panel: With longer simulation times lower noise amplitudes are sufficient for replacing the ordered state with the disordered state. A circle marks the parameter values used in the inset. The inset: At a finite simulation time and finite system size the bimodal distribution of the efficiencies of 1000 simulation runs shows the presence of hysteresis. The peak of the high efficiency (ordered) state and the peak of the low efficiency (disordered) state do not move. Only the few simulation runs (instances of the system) marked between the two peaks by a right-to-left arrow are transitioning from the peak of the ordered state to the peak of the disordered state.

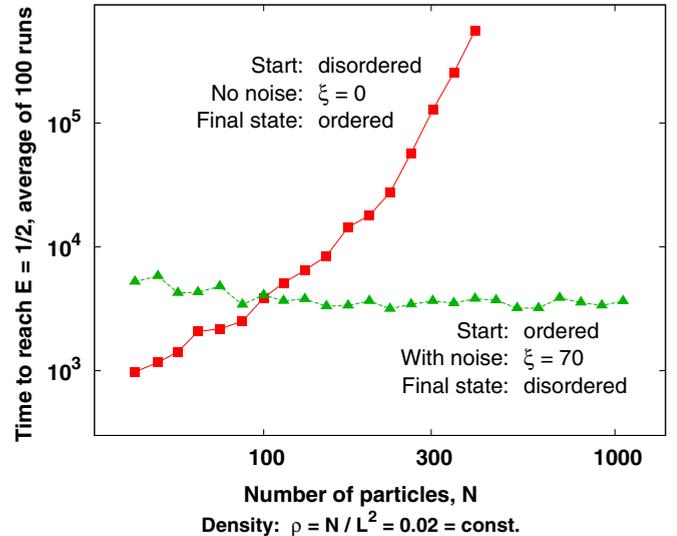


FIG. 7. (Color online) Simulation time necessary for the disorder \rightarrow order transition without noise (red squares, solid line) and the order \rightarrow disorder transition with noise (green triangles, dashed line). The density of particles is constant. With growing system size noise can destroy the ordered state in constant time (triangles). On the other hand, in the absence of explicit noise ($\xi = 0$) the time needed for order to emerge from disorder grows at least as fast as a power law (squares). We have tested that in the displayed system size range this speed of growth is below exponential.

are possible, and (ii) the ordered state is stable at low noise and the disordered state is stable at loud noise. We find a (iii) discontinuous (first order) transition with hysteresis at finite parameter values, however, we predict that (iv) in the infinite limits there is no hysteresis, and thus, the transition becomes continuous.

IV. DISCUSSION

The current model can be derived as a limiting case of a significantly simplified version of a previous paper by D’Orsogna *et al.* on self-propelled particles with soft-core interactions [51]. To connect the two studies, first note that the current model applies a *minimal assumption* about controlling speed: It does contain a first-order term but omits the higher-order ($|v|^2\vec{v}$) viscous force applied by D’Orsogna *et al.* Second, Eq. (2) contains no explicit attraction among the particles. This can be interpreted as a limiting case of the generalized Morse potential of D’Orsogna *et al.* reached by approaching zero strength or zero decay length of the attraction. Recall also that the spatial confinement necessary for the macroscopic ordering observed by us is an indirect form of attraction acting mainly at the perimeters of the flock [52]. To proceed with the comparison, note that in Fig. 1 of Ref. [51] the point of parameter space corresponding to the attraction-free limiting case is on the borderline of regions with catastrophic (collapsing) behavior, however, when simulating Eq. (1) we observe no collapse.

In addition to Ref. [51] and the various forms of explicit velocity alignment, further particle-based model components contributing to flocking include attraction and adhesion [12,51,53–57], anisotropy [32,58,59], inelastic collisions [41], and coupled accelerations [60]. In particular, in models with explicit velocity alignment it was found—by a mapping to the majority voter model—that extrinsic noise (also called: vectorial noise) leads to a discontinuous transition [57]. It may appear that the derivation in Ref. [57]

explains the discontinuous transition observed in Fig. 5. However, the current model contains no explicit alignment (neighbor following), thus, the mapping of Ref. [57] cannot be performed here, which makes its subsequent proof about a discontinuous transition inapplicable for the current model.

We note also that our results are in agreement with the recent finding in bacterial systems that the self-propulsion, rod shape, soft-core repulsion, and spatial confinement (which is an indirect form of a weak long-range attraction) of the cells are sufficient for ordering [61]. Interestingly, we find here that even less is sufficient because the rod shape—i.e., anisotropy—of the self-driven particles is not necessary for macroscopic ordering.

To summarize, we find that in a fully (spatially and temporally) continuous model of collective motion the following are *sufficient for stable global ordering*: (a) self-propelling parallel to the current velocity, (b) radial repulsion, and (c) spatial confinement. Spatial confinement represents real obstacles (trees, walls, etc.) and flock perimeter avoidance by the participants [52]. The absence of (fixed-time) explicit velocity alignment from the model avoids nonphysically large accelerations. We conclude that Eq. (1) provides a *minimal and realistic description* of many flocking phenomena involving insects, fish, birds, quadrupeds, humans, or robots.

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